



Received in the U.S.P.T.O.

In re Application of:

**WOLFGANG ROESNER, ET AL.**

**RANDOMIZED SIMULATION MODEL INSTRUMENTATION**

**RECEIVED**

**SEP 24 2004**

**Technology Center 2100**

ENCLOSED HEREWITH:

1. Information Disclosure Statement;
2. PTO Form 1449;
3. Copy of twenty-three (23) cited references marked AA-AW;
4. Certificate of Mailing; and
5. Our return postcard

Serial No.: 09/752,251; Filed: December 30, 2000

IBM CORPORATION

Our File No. 0116AD-AUS920000226US1

Mailed: September 28, 2001

MWB/dm

Received in the U.S.P.T.O.

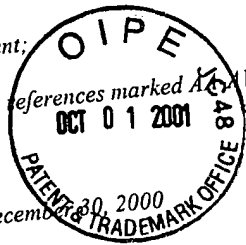
In re Application of:

**WOLFGANG ROESNER, ET AL.**

**RANDOMIZED SIMULATION MODEL INSTRUMENTATION**

ENCLOSED HEREWITH:

1. Information Disclosure Statement;
2. PTO Form 1449;
3. Copy of twenty-three (23) cited references marked AA-AW;
4. Certificate of Mailing; and
5. Our return postcard



Serial No.: 09/752,251; Filed: December 30, 2000

IBM CORPORATION

Our File No. 0116AD-AUS920000226US1

Mailed: September 28, 2001

MWB/dm

XC IBM 9-28-01



ATTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. AUS920000226US1

In Re Application of:

WOLFGANG ROESNER, ET AL.

Serial No. 09/752,251

Filed: December 30, 2000

For: RANDOMIZED SIMULATION  
MODEL INSTRUMENTATION

§  
§  
§  
§  
§  
§  
§  
§  
§  
§

Examiner: UNKNOWN

Group No. 2123

RECEIVED

SEP 24 2004

Technology Center 2100

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents  
Washington, D. C. 20231

Sir:

Applicant requests that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above-entitled application, pursuant to 37 C.F.R. 1.97. This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee or certification is required.

CERTIFICATE OF MAILING	
37 CFR 1.8(A)	
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D. C. 20231.	
<u>9/28/01</u>	<u>Shimi De Leon</u>
Date	Signature <u>Shimi De Leon</u>

No fees are believed to be necessary. Please charge any fees that may be necessary for prosecution of the present application to **IBM Corporation Deposit Account No. 09-0447**.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read 'Matthew Baca', is written over a horizontal line.

Matthew Baca

*Registration No. 42,277*

BRACEWELL & PATTERSON, L.L.P.

Suite 350 Lakewood on the Park

7600B North Capital of Texas Highway

Austin, Texas 78731

(512) 542-2100

ATTORNEY FOR APPLICANTS



Substitute form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

**Complete if Known**

Application Number	09/752,251
Filing Date	December 30, 2000
First Named Inventor	WOLFGANG ROESNER, ET AL.
Group Art Unit	2123
Examiner Name	UNKNOWN

**RECEIVED**

**SEP 24 2004**

**Technology Center 2100**

Sheet

1

of

2

Attorney Docket  
Number

AUS920000226US1

**U.S. PATENT DOCUMENTS**

Examiner Initials	IDS Doc #	U.S. Patent Document Number	Name of Patentee or Applicant	Publication Date MM-DD-YYYY
	AA	Attorney Docket No. AT9-98-726; App. No. 09/345,163	Related Co-Pending Application John F. Bargh, et al.	Application Filed on: June 29, 1999
	AB	6,196,627	Bargh, et al.	02/27/2001
	AC	5,605,895	Raimi	02/18/1997
	AD	5,812,416	Gupte, et al.	09/22/1998
	AE	6,223,142	Bargh, et al.	04/24/2001
	AF	5,544,067	Rostoker, et al.	08/06/1996
	AG	6,212,491	Bargh, et al.	04/03/2001
	AH	5,841,967	Sample, et al.	11/24/1998
	AI	5,920,490	Peters	07/06/1999
	AJ	6,052,524	Pauna	04/18/2000
	AK	6,202,042	Bargh, et al.	03/13/2001
	AL	5,943,490	Sample	08/24/1999
	AM	6,195,629	Bargh, et al.	02/27/2001
	AN	5,680,332	Raimi, et al.	10/21/1997
	AO	5,103,450	Whetsel	04/07/1992
	AP	5,883,809	Sullivan, et al.	03/16/1999
	AQ	5,910,897	Dangelo, et al.	06/08/1999
	AR	6,182,206	Baxter	01/30/2001

U.S. PATENT DOCUMENTS						
	AS	Attorney Docket No. AUS920000222US1 App. No. 09/729,465	Related Co-Pending Application Derek Edward Williams	12/05/2000		
	AT	Attorney Docket No. AUS920000224US1 App. No. 09/751,802	Related Co-Pending Application Wolfgang Roesner, et al.	12/29/2000		
	AU	Attorney Docket No. AUS920000228US1 App. No. 09/752,254	Related Co-Pending Application Wolfgang Roesner, et al.	12/30/2000		
	AV	Attorney Docket No. AUS920000227US1 App. No. 09/751,803	Related Co-Pending Application Wolfgang Roesner, et al.	12/29/2000		
	AW	Attorney Docket No. AUS920000225US1 App. No. 09/752,252	Related Co-Pending Application Wolfgang Roesner, et al.	12/30/2000		
FOREIGN PATENT DOCUMENTS						
Examiner Initials	IDS Doc #	Office	Foreign Patent Document No.	Name of Patentee or Applicant	Date of Publication	English Translation
OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS						
Examiner Initials	IDS Doc #	Include the name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				English Translation
Examiner Signature				Date Considered		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



PTO/SB/122 (09-03)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**CHANGE OF  
CORRESPONDENCE ADDRESS**  
*Application*Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450.

Application Number	09/752,251
Filing Date	12/30/2000
First Named Inventor	Wolfgang Roesner
Art Unit	2121
Examiner Name	Ronald D. Hartman
Attorney Docket Number	AUS920000226US1

**RECEIVED**

SEP 24 2004

Technology Center 2100

Please change the Correspondence Address for the above-identified patent application to:

☒ Customer Number : 

OR

☐ Firm or  
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the:

- ☐ Applicant/Inventor
- ☐ Assignee of record of the entire interest.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or Agent of record. Registration Number 42,277
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number \_\_\_\_\_

Typed or Printed  
Name Matthew W. Baca

Signature

Date September 17, 2001

Telephone (512) 343-6116

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.